

## General Assembly

## Raised Bill No. 1481

January Session, 2007

LCO No. 6426

\*06426\_\_\_\_JUD\*

Referred to Committee on Judiciary

Introduced by: (JUD)

## AN ACT CONCERNING STATE REFEREES.

Be it enacted by the Senate and House of Representatives in General Assembly convened:

- Section 1. Section 51-44a of the general statutes is repealed and the following is substituted in lieu thereof (*Effective October 1, 2007*):
- (a) There is established a Judicial Selection Commission comprised of twelve members. Six of the members shall be attorneys-at-law and six of the members shall not be attorneys-at-law. Not more than six of the members shall belong to the same political party. None of the members shall be an elected or appointed official of the state or hold state-wide office in a political party.
- 9 (b) The members of the commission shall be appointed as follows: 10 The Governor shall appoint six members, one from each congressional 11 district and one at-large member, three of whom shall be attorneys-at-12 law and three of whom shall not be attorneys-at-law; the president pro 13 tempore of the Senate shall appoint one member who shall be an 14 attorney-at-law; the speaker of the House of Representatives shall 15 appoint one member who shall not be an attorney-at-law; the majority 16 leader of the Senate shall appoint one member who shall not be an

- 17 attorney-at-law; the majority leader of the House of Representatives
- 18 shall appoint one member who shall be an attorney-at-law; the
- 19 minority leader of the Senate shall appoint one member who shall not
- 20 be an attorney-at-law; and the minority leader of the House of
- 21 Representatives shall appoint one member who shall be an attorney-at-
- 22 law.

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- 23 (c) The members of the commission shall elect a chairperson from 24 among the members appointed by the Governor.
- 25 (d) (1) The members of the commission shall serve for terms of three 26 years.
- 27 (2) Members appointed on or after June 26, 2003, shall serve for 28 terms of three years and, notwithstanding the provisions of section 4-1, 29 until their successors are appointed and have qualified or ninety days 30 after the completion of their terms, whichever is earlier.
  - (3) Members serving on June 26, 2003, shall continue to serve as members until the end of their terms and, notwithstanding the provisions of section 4-1, until their successors are appointed and have qualified or ninety days after the completion of their terms, whichever is earlier, except that members serving on June 26, 2003, who have completed their terms and are serving until their successors are appointed and have qualified shall, notwithstanding the provisions of section 4-1, continue to serve until their successors are appointed and have qualified, but not later than January 1, 2004.
  - (4) Any vacancy in the membership of the commission shall be filled for the unexpired portion of the term by the appointing authority. The members of the commission shall receive no compensation for their services but shall be reimbursed for any necessary expenses incurred in the performance of their duties.
- 45 (5) No member of the commission may serve consecutive terms, 46 except that if, on or after June 26, 2003, a person is appointed a

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member of the commission to fill a vacancy and complete an unexpired term, such person may serve an additional term. If a commission member is an attorney, no member of the commission member's firm may serve a term consecutive to such commission member.

(e) The commission shall evaluate incumbent judges who seek reappointment to the same court, and incumbent state referees who seek reappointment, and shall forward to the Governor for consideration the names of incumbent judges and state referees who are recommended for reappointment as provided in this subsection. The commission shall adopt regulations, in accordance with the provisions of chapter 54, concerning criteria by which to evaluate incumbent judges who seek reappointment to the same court [; provided pending adoption of such regulations, the commission shall use criteria established prior to June 22, 1989, for the evaluation of such judges] and incumbent state referees who seek reappointment. In evaluating the reappointment of an incumbent judge or state referee, the commission shall consider the legal ability, competence, integrity, character and temperament of such judge or state referee and any other relevant information concerning such judge or state referee. There shall be a presumption that each incumbent judge who seeks reappointment to the same court qualifies for retention in judicial office. The burden of rebutting such presumption shall be on the commission. Such presumption shall not apply to incumbent state referees who seek reappointment. The commission shall investigate and interview each incumbent judge and state referee who seeks reappointment and, prior to the expiration of a term of office of such judge or state referee, shall recommend such incumbent judge or state <u>referee</u> for nomination for reappointment by the Governor [to the same court] unless, as provided in this subsection, recommendation of such judge or state referee is denied. If a preliminary examination indicates further inquiry is necessary before a recommendation reappointment may be made, the commission shall hold a hearing concerning the reappointment of such judge or state referee. The

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commission shall send notice to the judge or state referee by certified or registered mail, return receipt requested, not less than one hundred eighty days prior to the convening of such legislative session which is to consider the reappointment of the incumbent judge or state referee, (A) that a hearing by the commission on such reappointment shall be held and of the time, date and place of such hearing, which shall be not less than thirty days [nor] or more than forty-five days after the date of such notice, and (B) of specific claims made against the judge or state referee. The commission shall make a record of all hearings conducted pursuant to this subsection. The hearing may be open to the public at the request of the judge or state referee. For the purposes of conducting a hearing under this subsection, not less than ten members of the commission shall be present and voting. A judge or state referee appearing before such a hearing shall be entitled to counsel, to present evidence and to cross-examine witnesses who appear voluntarily. No judge or state referee shall be required to sign or execute any release in order to proceed with the hearing. The commission shall, not later than twenty days after the close of such hearing, render its decision whether it shall recommend such incumbent judge or state referee for nomination for reappointment by the Governor. Any affirmative vote of a majority plus one of the members present and voting shall be required to deny recommendation to the Governor for nomination of an incumbent judge to the same court or an incumbent state referee. A judge or state referee who has not received approval by the commission may, within ten days after receipt of the notice of decision, which shall include a record of the numerical vote, request a rehearing on the grounds that the conclusions of the commission are contrary to the evidence presented at the hearing or the commission failed to comply with the procedural or substantive requirements of this section. The decision of the commission shall be final. There shall be no right of appeal by any judge or state referee appearing before the commission, at law or in equity, or any resort to any court following the decision of the commission.

114 (f) Except as provided in subsection (e) of this section, the

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115 commission shall seek qualified candidates for consideration by the 116 Governor for nomination as judges for the Superior Court, Appellate 117 Court and Supreme Court. The commission shall adopt regulations, in 118 accordance with the provisions of chapter 54, concerning criteria by 119 which to evaluate the qualifications of candidates, including 120 incumbent judges who seek appointment to a different court. The 121 commission shall investigate and interview the candidates, including 122 incumbent judges seeking appointment to a different court. A list of 123 such qualified candidates shall be compiled by the commission.

(g) In connection with any inquiry concerning the reappointment of an incumbent judge or state referee, the commission shall have the power to issue subpoenas requiring the attendance of witnesses and the production of any books or papers which in the judgment of the commission are relevant to the inquiry. The commission may, upon request of the judge or state referee whose reappointment is at issue, issue a subpoena on behalf of such judge or state referee. If any person disobeys such process or, having appeared in obedience thereto, refuses to answer any pertinent question put to [him] such person by the commission [,] or to produce any books and papers pursuant thereto, the commission, on its own behalf or on behalf of the judge or state referee, may apply to the superior court for the judicial district of Hartford setting forth such disobedience to process or refusal to answer, and [said] the court may cite such person to appear before [said] the court to answer such question or to produce such books and papers and, upon [his] such person's refusal so to do, shall commit [him] such person to a community correctional center, there to remain until [he] such person so testifies.

(h) (1) Judges of all courts, except those courts to which judges are elected, shall be nominated by the Governor exclusively from the list of candidates or incumbent judges submitted by the Judicial Selection Commission. Any candidate or incumbent judge who is nominated from such list by the Governor to be Chief Justice of the Supreme Court, and who is appointed Chief Justice by the General Assembly,

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148 shall serve a term of eight years from the date of appointment. The 149 Governor shall nominate a candidate for a vacancy in a judicial 150 position within forty-five days of the date the Governor receives the 151 recommendations of the commission. When considering the 152 nomination of an incumbent judge for reappointment to the same 153 court, the Governor may nominate the incumbent judge if the 154 commission did not deny recommendation for reappointment. 155 Whenever an incumbent judge is denied recommendation for 156 reappointment to the same court by the commission or is 157 recommended by the commission but not nominated by the Governor 158 for reappointment to the same court, or whenever a vacancy in a 159 judicial position occurs or is anticipated, the Governor shall choose a 160 nominee from the list of candidates compiled pursuant to subsection 161 (f) of this section.

- (2) Notwithstanding the provisions of subdivision (1) of this subsection and subsection (f) of this section, the Governor may nominate an associate judge of the Supreme Court to be Chief Justice of the Supreme Court without such judge being investigated and interviewed by the commission and being on the list of qualified candidates compiled and submitted to the Governor by the commission. An associate judge of the Supreme Court who has been nominated by the Governor to be Chief Justice of the Supreme Court in accordance with this subdivision, and who is appointed Chief Justice by the General Assembly, shall serve an initial term as Chief Justice equal to the remainder of such judge's term as an associate judge of the Supreme Court.
- 174 (3) When considering the nomination of an incumbent state referee 175 for reappointment, the Governor may nominate the incumbent state 176 referee if the commission did not deny recommendation for 177 reappointment.
- 178 (i) A majority of the membership of the commission shall constitute 179 a quorum. The affirmative vote of at least a majority of the members of

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the commission present and voting shall be required for any action by the commission, except (1) an affirmative vote of at least a majority plus one of the members present and voting shall be required for a new nominee to be recommended to the Governor for nomination as a judge or for an incumbent judge to be recommended to the Governor for nomination as a judge to a different court, and (2) an affirmative vote of a majority plus one of the members present and voting shall be required to deny recommendation to the Governor for nomination of an incumbent judge to the same court or for nomination of a state referee for reappointment. No vote of the commission on a new nominee shall be by secret ballot. The vote of the commission on an incumbent judge or state referee may be by secret ballot.

- (j) Except as provided in subsections (e) and (m) of this section, the investigations, deliberations, files and records of the commission shall be confidential and <u>shall</u> not <u>be</u> open to the public or subject to disclosure, except that the criteria by which candidates, [or] incumbent judges who seek reappointment to the same court or appointment to a different court <u>or incumbent state referees who seek reappointment</u> are evaluated and the procedural rules adopted by the commission shall be public.
- 200 (k) The commission may employ such staff as is necessary for the 201 performance of its functions and duties.
  - (l) No member of the commission who is an attorney-at-law shall be considered for recommendation to the Governor for nomination as a judge during [his] <u>such member's</u> tenure on the commission or for a period of two years following the termination of [his] <u>such member's</u> tenure on the commission.
  - (m) In January of each year, the chairperson of the commission shall report to the joint standing committee [on] of the General Assembly having cognizance of matters relating to the judiciary the following information: (1) The number of candidates interviewed for appointment as new nominees, the number of incumbent judges

- 212 interviewed for reappointment to the same court, [and] the number of 213 incumbent judges interviewed for appointment to a different court and 214 the number of incumbent state referees interviewed for reappointment, 215 (2) the number of candidates who were recommended and denied 216 recommendation to the Governor as new nominees, the number of 217 incumbent judges recommended and denied recommendation for 218 appointment to the same court, [and] the number of incumbent judges 219 recommended and denied recommendation for appointment to a 220 different court and the number of incumbent state referees 221 recommended and denied recommendation for reappointment, and (3) 222 the statistics regarding the race, gender, national origin, religion and 223 years of experience as members of the bar of all such candidates.
- (n) The commission [shall have the power to] <u>may</u> enter into such contractual agreements as may be necessary for the discharge of its duties concerning the investigation of candidates seeking appointment to a judicial position, [and] incumbent judges seeking reappointment to the same court or appointment to a different court <u>and incumbent</u> state referees seeking reappointment, within the limits of appropriated funds and in accordance with established procedures.
- Sec. 2. Subsection (a) of section 51-50*l* of the general statutes is repealed and the following is substituted in lieu thereof (*Effective October 1, 2007*):
- 234 (a) Each senior judge who ceases to hold office as a senior judge 235 because of having reached the age of seventy years and who is an 236 elector and a resident of this state shall be a state referee for the 237 remainder of [his] such senior judge's term of office as a judge and 238 shall be eligible for appointment as a state referee during the 239 remainder of [his] such senior judge's life in the manner prescribed by 240 law for the appointment of a judge of the court of which [he] such 241 senior judge is a member, subject to the provisions of section 51-44a, as 242 amended by this act.
- Sec. 3. Subsection (a) of section 52-434 of the general statutes is

repealed and the following is substituted in lieu thereof (*Effective October 1, 2007*):

(a) (1) Each judge of the Supreme Court, each judge of the Appellate Court, each judge of the Superior Court and each judge of the Court of Common Pleas who ceases or has ceased to hold office because of retirement, other than under the provisions of section 51-49, and who is an elector and a resident of this state shall be a state referee for the remainder of such judge's term of office as a judge and shall be eligible for appointment as a state referee during the remainder of such judge's life in the manner prescribed by law for the appointment of a judge of the court of which such judge is a member, subject to the provisions of section 51-44a, as amended by this act. The Superior Court may refer any civil [,] nonjury case or with the written consent of the parties or their attorneys, any civil jury case pending before the court in which the issues have been closed to a judge trial referee who shall have and exercise the powers of the Superior Court in respect to trial, judgment and appeal in the case, and any proceeding resulting from a demand for a trial de novo pursuant to subsection (e) of section 52-549z may be referred without the consent of the parties to a judge trial referee who has been specifically designated to hear such proceedings pursuant to subsection (b) of this section. The Superior Court may, with the consent of the parties or their attorneys, refer any criminal case to a judge trial referee who shall have and exercise the powers of the Superior Court in respect to trial, judgment, sentencing and appeal in the case, except that the Superior Court may, without the consent of the parties or their attorneys, (A) refer any criminal case, other than a criminal jury trial, to a judge trial referee assigned to a geographical area criminal court session, and (B) refer any criminal case, other than a class A or B felony or capital felony, to a judge trial referee to preside over the jury selection process and any voir dire examination conducted in such case, unless good cause is shown not to refer.

(2) Each judge of the Circuit Court who has ceased to hold office because of retirement, other than under the provisions of section 51-49,

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and who is an elector and a resident of this state shall be a state referee for the remainder of such judge's term of office as a judge and shall be eligible for appointment as a state referee during the remainder of such judge's life in the manner prescribed by law for the appointment of a judge of the court of which such judge is a member, subject to the provisions of section 51-44a, as amended by this act, to whom the Superior Court may, with the written consent of the parties or their attorneys, refer any case pending in court in which the issues have been closed and which the judges of the Superior Court may establish by rule to be the kind of case which may be heard by such referees who have been appointed judge trial referees pursuant to subsection (b) of this section. The judge trial referee shall hear any such case so referred and report the facts to the court by which the case was referred.

- (3) Each judge of the Juvenile Court who ceases or has ceased to hold office because of retirement, other than under the provisions of section 51-49, and who is an elector and a resident of this state shall be a state referee for the remainder of such judge's term of office as a judge and shall be eligible for appointment as a state referee during the remainder of such judge's life in the manner prescribed by law for the appointment of a judge of the court of which such judge is a member, subject to the provisions of section 51-44a, as amended by this act, to whom a judge before whom any juvenile matter is pending may, with the written consent of the child concerned, either of such child's parents, or such child's guardian or attorney, refer any juvenile matter pending, provided such referee has been appointed a judge trial referee specifically designated to hear juvenile cases pursuant to subsection (b) of this section. The judge trial referee shall hear any matter so referred and report the facts to the court for the district from which the matter was referred.
- (4) In addition to the judge trial referees who are appointed pursuant to subdivision (1), (2) or (3) of this subsection, the Chief Justice may appoint, from qualified members of the bar of the state,

who are electors and residents of this state, as many state referees as 310 311 the Chief Justice may from time to time deem advisable or necessary. 312 No appointment of a member of the bar may be for a term of more 313 than three years. Notwithstanding the provisions of subsection (f) of 314 this section, state referees appointed by the Chief Justice from 315 members of the bar shall receive such reasonable compensation and 316 expenses as may be determined by the Chief Justice. The Superior 317 Court may appoint a state referee pursuant to this subdivision to take 318 such evidence as it directs in any civil [,] nonjury case including, but 319 not limited to, appeals under section 8-8. Any such state referee shall 320 report on such evidence to the court with any findings of fact. The 321 report shall constitute a part of the proceeding upon which the 322 determination of the court shall be made.

This act shall take effect as follows and shall amend the following sections:		
Section 1	October 1, 2007	51-44a
Sec. 2	October 1, 2007	51-50l(a)
Sec. 3	October 1, 2007	52-434(a)

## Statement of Purpose:

To provide that incumbent state referees seeking reappointment shall be evaluated and recommended by the Judicial Selection Commission.

[Proposed deletions are enclosed in brackets. Proposed additions are indicated by underline, except that when the entire text of a bill or resolution or a section of a bill or resolution is new, it is not underlined.]